



Patent  
Attorney's Docket No. 033275-226

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Jürgen BAER, et al.

Application No.: 09/874,113

Filed: June 6, 2001

For: TURBO GENERATOR WITH A ROTOR  
WITH DIRECT GAS COOLING

Group Art Unit: 3746

Examiner: Unassigned

#5/IDS,  
Hawkins  
11/15/01

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.


The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By:

  
Robert S. Swecker  
Registration No. 19,885

Date: August 10, 2001

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

European Patent No. 0 854,559, issued July 22, 1998 (English Abstract Attached).

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: \_\_\_\_\_

Robert S. Swecker  
Registration No. 19,885

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(703) 836-6620  
Date: August 10, 2001



BADEN / SWITZERLAND

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Juergen **Baer** and

Josef **Tommer**

(inventors)

claiming Priority under 35 U.S.C. 119

of application No.: 100 27 798.5

in: Germany

with filing date of: 2000-06-07

U.S. Serial Number:

U.S. filing date:

Title: Turbogenerator mit einem Rotor mit direkter Gaskühlung

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231

Sir:

Submitted herewith is a listing of references that to our knowledge are relevant to the submitted application

EP 0 854 559

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Rainer Liebe", written over a horizontal line.

Date: 2001-05-23

Rainer Liebe